## CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Parent and Trademark Office in Alexandria, Virginia on March

30, 2004

Janice Rosier

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Kerstin MOTHES et al.

Serial No.: New U.S. Application

Filing Date: March 30, 2004

For: METHOD FOR FABRICATING A TRENCH

ISOLATION STRUCTURE

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copy of the documents is also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted together with the filing of this application; accordingly, no fee or separate requirements are required.

New U.S. Application Docket No. 543822005000

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>543822005000</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 30, 2004

y: \_ Our\_

Respectfully submitted,

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Form PTO	-1449			Docket Number		Application Number			
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)					543822005000	New U.S. Application			
					Applicant  Kerstin MOTHES et al.				
					Filing Date Group Art Unit				
					March 30, 2004		To be assigned		
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					March 30, 2004				
U.S. PATENT DOCUMENTS									
Examiner Initials	Ref. No.	Date	Document No.		Name Cla		Subclass	Filing Date If Appropriate	
	1.	10/02/2001	6,297,128 B1	Kin	Kim et al.				
	2.	11/14/2000	6,146,971	Chen et al.					
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FOREIGN PATENT DOCUMENTS									
Examiner Initials	Ref. No.	Date	Document No.		Country	Class	Subclass	Translation YES NO	
	3.	01/31/2003	2003031649 A	Jap	an			X- ABS	
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OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)									
Examiner	, , , , , , , , , , , , , , , , , , , ,								
Initials	No.	Title							
	4.	Patent Abstracts of Japan, 2003031649 A, January 31, 2003							
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EXAMINER:					DATE CONSIDERED:				
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.									